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S&H Form: PTO/SB/17 (2/01)

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS**

Attorney Docket No.	1185.1059
Application Number	09/893,444
Filing Date	June 29, 2001
First Named Inventor	Shingo OHKAWA
Group Art Unit	

Title: LIGHT GUIDE PLATE, SURFACE LIGHT SOURCE DEVICE AND DISPLAY

Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed August 21, 2001 for the above-identified application, enclosed are the following:

- RETURN COPY of Notice to File Missing Parts of Nonprovisional Application
- Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.
- Formal Drawings ( \_\_\_\_\_ Sheets; Figs. \_\_\_\_\_)
- English-language translation of application (with Translator's Statement (pages \_\_\_\_\_))
- Verified Statement Claiming Small Entity Status

Enclosed is a payment of the following:

<input type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$
<input type="checkbox"/> The additional claim(s) fee ( claims over 20)	\$
<input type="checkbox"/> The additional independent claim(s) fee ( claims over 3)	\$
<input type="checkbox"/> Multiple dependent claims	\$
<input type="checkbox"/> Petition for Extension of Time ( _____-month)	\$
<input type="checkbox"/> English language translation fee	\$
<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)	\$130.00

**SUBTOTAL FEES:** \$130.00

<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)	.00
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**SUBTOTAL FEES:** \$130.00

**TOTAL FEES DUE:** \$130.00

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of \_\_\_, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960));

#### METHOD OF PAYMENT

- Check enclosed as payment.
- Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

#### AUTHORIZATION

- If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

#### SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	James D. Halsey, Jr.	Reg. No.	22,729
Signature		Date	October 15, 2001

FEE DUE DATE: OCTOBER 15, 2001  
AMOUNT ENCLOSED: \$1,960.00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Shingo OHKAWA  
U. S. Application Serial No.  
Filing Date: June 28, 2001  
For: GUIDE PLATE, SURFACE LIGHT SOURCE DEVICE AND LIQUID  
CRYSTAL DISPLAY

VERIFICATION OF TRANSLATION

Honorable Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

I, Takahiro UOZUMI, residing at c/o AIWA INTERNATIONAL PATENT AGENCY, Toranomon 19 Mori Bldg., 6F., No.2-20, Toranomon 1-chome, Minato-ku, Tokyo, Japan, declare:

- (1) that I know well both Japanese and English languages;
- (2) that I translated the above-identified U.S. Patent Application from Japanese to English;
- (3) that the attached English translation is a true and correct translation of the above-identified U.S. Patent Application to the best of my knowledge and belief; and
- (4) that all statements made of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements and the like are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

  
\_\_\_\_\_  
Takahiro UOZUMI

Date: October 10, 2001

If there are any additional fees associated with filing of this Amendment, please charge the same to our Deposit Account No. 19-3935.

Respectfully submitted,

STAAS & HALSEY LLP

By: \_\_\_\_\_

James D. Halsey, Jr.  
Registration No. 22,729

Date: 10/11

700 Eleventh Street, NW, Suite 500  
Washington, D.C. 20001  
(202) 434-1500

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**IN THE SPECIFICATION:**

Please DELETE the paragraph beginning at page 9, lines 16-20 as follows:

[The present invention realizes the above objects by forming a great number of projection rows, which diversifies routes of emission, on an emissions face of a light guide plate that is employed in the above proposed idea (of the above patent application) based on direction-conversion through inner reflections effected twice by inner surfaces of micro-reflectors.]



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,444	06/29/2001	Shingo Ohkawa	1185.1059

21171  
 STAAS & HALSEY LLP  
 700 11TH STREET, NW  
 SUITE 500  
 WASHINGTON, DC 20001



**CONFIRMATION NO. 63356**

**FORMALITIES LETTER**



\*OC000000006454784\*

Date Mailed: 08/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 130.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

11/29/2001 SSALEEKU 00000005 193935 09893444

01 FC:139 130.00 CH ■ drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

11/29/2001 SSALEEKU 00000003 193935 09893444

01 FC:103 72.00 CH

11/29/2001 SSALEEKU 00000004 193935 09893444

01 FC:104 270.00 CH

*A copy of this notice **MUST** be returned with the reply.*

*[Handwritten signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE